OIPE NIN 1 1 2000 W

PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE deduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB

Complete if Known Substitute for form 1449A/PTO **Application Number** 10/602,395 INFORMATION DISCLOSURE **Filing Date** June 23, 2003 STATEMENT BY APPLICANT **First Named Inventor** Charles L. Guy (use as many sheets as necessary) **Art Unit** 1653 **Examiner Name** Not yet assigned Sheet 1 of 3 **Attorney Docket Number** UF-326XC1

Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant	Pages, Columns, Lines, Where
Initials*	No. 1	Number - Kind Code ² (if known)	MM-DD-YYYY	of Cited Document	Relevant Passages or Relevant Figures Appear
	U1	US- 5,762,057	07-09-1998	The United States of America	All
	U2	US- 5,106,739	04-21-1992	Calgene, Inc.	All
	U3	US- 5,688,684	11-18-1997	Sapporo Breweries Ltd.	All
	U4	US- 5,863,784	01-26-1999	Sapporo Breweries Ltd.	All
	U5	US- 5,082,781	01-21-1992	Shigezo Udaka	All
	U6	US- 5,625,136	04-29-1997	Ciba-Geigy Corporation	All
	U7	US- 5,034,322	07-23-1991	Monsanto Company	All
	U8	US- 5,447,858	09-05-1995	Mycogen Plant Sciences, Inc.	All
	U9	US- 8,489,540	12-03-2002	Advanced Technologies (Cambridge) Limited	Ali
	U10	US- 5,460,952	10-24-1995	National Science Counsil of R.O.C.	All
	U11	US- 5,498,832	03-12-1996	A/S De Danske Spritfabrikker	All
	U12	US- 5,712,112	01-27-1998	National Science Council of R.O.C.	All
	U13	US- 5,912,413	06-15-1999	Iowa State University Research Foundation, Inc.	All
	U14	US- 6,469,230	10-22-2002	Plant Bioscience Limited	All
	U15	US- 6,268,548	07-31-2001	Board of Regents of University of Nebraska	All
	U16	US- 5,296,462	03-22-1994	Board of Trustees Operating Michigan State University	All
VIL	U17	US- 5,356,816	10-18-1994	Board of Trustees Operating Michigan State University	Ali

FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Unes, Where Relevant Passages	
Initials*	No. 1	Country Code 3 - Number 4 - Kind Code 8 (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	T ⁶
	F1					
	F2					
	F3					
	F4					
	F5			<u>"</u>		
	F6					
	_ F7					
	F8					1

Examiner Signature Date Considered 01/31/2006

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

,

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

*Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Standard ST.3). ⁴ For Japanese patent documents, the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

*Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Standard ST.3). ⁴ For Japanese patent documents, the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

*Applicant is to place a check mark here if the code with the

PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Reduction Act of 1995, no persons are required to respond to a collection of Information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of 3

Complete if Known				
Application Number	10/602,395			
Filing Date	June 23, 2003			
First Named Inventor	Charles L. Guy			
Group Art Unit	1653			
Examiner Name	Not yet assigned			
Attorney Docket Number	UF-326XC1			

			NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*		Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		R1	AVIGAD, G., DEY, P.M. "Carbohydrate Metabolism: Storage Carbohydrates" Plant Biochemistry 1997, P.M Dey and J. B. Harborne, eds. (Academic Press).	
	1	R2	BECK, E., ZIEGLER, P. "Biosynthesis and Degradation of Starch in Higher Plants" Annu. Rev. Physiol. Plant Mol. Biol. 1989, Vol. 40, pp. 95-117.	
	1	R3	DATTA, R. et al. "Stress-Mediated Enhancement of \$\beta\$-Amylase Activity in Pearl Millet and Maize Leaves is Dependent on Light" J. Plant Physiol. 1999, Vol. 154, pp. 657-664.	
<u>5</u> ,	1	R4	DREIER, W. et al. "Light- and Stress-Dependent Enhancement of Amylolytic Activities in White and Green Barley Leaves: β-Amylases are Stress-Induced Proteins" J. Plant Physiol. 1995, Vol. 145, pp. 342-348.	
	/	R5	FOWLER, S., THOMASHOW, M.F. "Arabidopsis Transcriptome Profiling Indicates that Multiple Regulatory Pathways are Activated during Cold Acclimation in Addition to the CBF Cold Response Pathway" <i>The Plant Cell</i> 2002, Vol. 14, pp. 1675-1690.	
		R6	GILMOUR, S.J. et al. "Overexpression of the Arabidopsis CBF3 Transcriptional Activator Mimics Multiple Biochemical Changes Associated with Cold Acclimation" Plant Physiol. 2000, Vol. 124, pp. 1854-1865.	
	./	R7	IWASAKI et al. "The Dehydration-Inducible Rd17 (Cor47) (Gene and its Promoter Region In Arabidopsis thaliana" (Accession No. AB004872) (Plant Register PGR97-156) Plant Physiol. 1997, Vol. 115, p. 1287.	
	./	R8	KREPS, J.A. et al. "Transcriptome Changes for Arabidopsis in Response to Salt, Osmotic, and Cold Stress" Plant Physiol. 2002, Vol. 130, pp. 2129-2141.	
П	1	R9	LAO et al. "An Arabidopsis gene encoding a chloroplast-targeted β-amylase" The Plant Journal 1999, Vol. 5, No. 5, pp. 519-527.	
	/	R10	MIKAMI B. et al. "The Crystal Structure of the Sevenfold Mutant of Barley β-Amylase with Increased Thermostability at 2.5 Å Resolution" J. Mol. Biol. 1999, Vol. 285, pp. 1235-1243.	
	1	R11	MONROE, J.D., PREISS, J. "Purification of a β-Amylase that Accumulates in Arabidopsis thaliana Mutants Defective in Starch Metabolism" <i>Plant Physiol.</i> 1990, Vol. 94, pp. 1033-1039.	
	/	R12	NIELSEN, T.H. et al. "A β-Amylase in Potato Tubers is Induced by Storage at Low Temperature" Plant Physiol. 1997, Vol. 113, pp. 503-510.	
N	W	R13	SANTARIUS, K.A. "The Protective Effect of Sugars on Chloroplast Membranes during Temperature and Water Stress and its Relationship to Frost, Desiccation and Heat Resistance" <i>Planta</i> 1973, Vol. 113, pp. 105-114.	

Examiner Signature	Was Jours	Date Considered	01/31/2006
Congression	VAXA:N/ =		

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending on the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control tumber.

3

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

3 of

Complete if Known				
Application Number	10/602,395			
Filing Date	June 23, 2003			
First Named Inventor	Charles L. Guy			
Group Art Unit	1653			
Examiner Name	Not yet assigned			
Attorney Docket Number	UF-326XC1			

			NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*		r Cite No. Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T²
	1	R14	SANTARIUS, K.A. "Freezing of Isolated Thylakoid Membranes in Complex Media" Cryobiology 1996, Vol. 33, pp. 118-126.	
	1	R15	SCHEIDIG, A. et al. "Downregulation of a chloroplast-targeted β-amylase leads to a starch-excess phenotype in leaves" <i>The Plant Journal</i> 2002, Vol. 30, No. 5, pp. 581-591.	
	1	R16	SEKI, M. et al. *Monitoring the Expression Pattern of 1300 Arabidopsis Genes under Drought and Cold Stresses by Using a Full-Length cDNA Microarray" <i>The Plant Cell</i> 2001, Vol. 13, pp. 61-72.	
	/	R17	SEKI, M. et al. "Monitoring the expression profiles of 7000 Arabidopsis genes under drought, cold and high-salinity stresses using a full-length cDNA microarray" The Plant Journal 2002, Vol. 31, No. 3, pp. 279-292.	
	/	R18	SINGER, M.A., LINDQUIST, S. "Thermotolerance in Saccharomyces cerevisiae: the Yin and Yang of trehalose" Trends Biotechnol. 1998, Vol. 16, pp. 460-468.	
8	1	R19	TODAKA, D. et al. "Water stress enhances β-amylase activity in cucumber cotyledons" Journal of Experimental Botany 2000, Vol. 51, No. 345, pp. 739-745.	io-
	1	R20	WANG, Q. et al. "Identification and Characterization of a Phloem-Specific β-Amylase ¹ " Plant Physiol. 1995, Vol. 109, pp. 743-750.	
		R21	YANCEY, P.H. "Living with Water Stress: Evolution of Osmolyte Systems" Science 1982, Vol. 217, p. 1214-1222.	
1	My	R22	YOSHIGI, N. et al. "Construction of a Plasmid Used for the Expression of a Sevenfold-Mutant Barley β-Amylase with Increased Thermostability in Escherichia coli and Properties of the Sevenfold-Mutant β-Amylase" J. Biochem. 1995, Vol. 118, pp. 562-567.	
		R23		
·		R24	·	
		R25		
		R26		

		1		
Examiner Signature	Va	why	Date Considered	01/31/2008

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending on the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.